

## FORMALITIES LETTER



\*OC00000005341076\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,965	06/30/2000	Takahisa Kurahashi	1883-36

Nixon & Vanderhye PC  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201

#5

Date Mailed: 08/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/607,965	06/30/2000	Takahisa Kurahashi	1883-36

Nixon & Vanderhye PC  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201



CONFIRMATION NO. 9969

ABANDONMENT/TERMINATION  
LETTER

\*OC000000008570841\*

Date Mailed: 08/05/2002

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 08/21/2000.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

*A copy of this notice **MUST** be returned with the reply.*

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Serial No.: 09/607,065

Inventor/s: KURAHASHI et al

Atty: HWB

Date: 8/30/00

C#/M#: 1883-36

Title: SEMICONDUCTOR LIGHT EMITTING ELEMENT

Amendment

Pages Specification, Claims & Abstract

Claims

Sheets of Drawings

X Declaration (2 Pages)

X Assignment (2 Pages) Including Cover

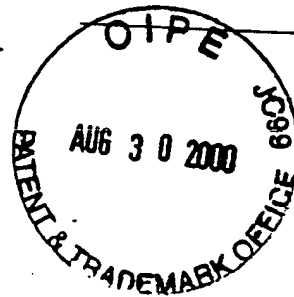
Priority Document(s)

Base Issue Fee Transmittal

\$860.00

Fee (Check)

Other: Notice to File Missing Parts; Transmittal



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**EXHIBIT**

TABLES

1

49294

NIXON & VANDERHYE, P.C.  
PTO ACCOUNT  
1400 N. GLEBE ROAD, 8TH FLOOR  
ARLINGTON, VA 22201-4714

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68-11122  
560

DATE

8-30-00

PAY  
TO THE  
ORDER OF

HON. COMMISSIONER OF PATENTS AND TRADEMARKS

Eight-Hundred and Sixty

\$ 860.00

DOLLARS

1883-36				

THIS CHECK IS DELIVERED FOR PAYMENT ON THE ACCOUNTS LISTED

*[Signature]*

⑈00049294⑈ ⑆056001118⑆ 0501 4778⑈

⑈0000086000⑈

FIRST VIRGINIA BANK  
SEVEN NORTH WEST VIRGINIA  
FALLS CHURCH, VIRGINIA 22042



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

KURAHASHI et al

Serial No. 09/607,065

Filed: June 30, 2000

For: SEMICONDUCTOR LIGHT EMITTING ELEMENT

Attention: Application Branch

Atty. Dkt. 1883-36

Date: August 30, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record and return the attached assignment.  
☒ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:
- | Application Nos. | Country | Filing Date    |
|------------------|---------|----------------|
| 11-236619        | Japan   | 24 August 1999 |

respectively.

☐ This application is based on the following prior provisional application(s):

Application No.	Filing Date
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respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☒ already filed on June 30, 2000.

in U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

- ☐ The undersigned verifies that the above-identified application is identical to: filed \_\_\_\_\_, as amended on \_\_\_\_\_  
☐ Verified Statement attached establishing "small entity" status (Rules 9 & 27)  
☐ Also attached:

**Fees are attached as calculated below:**

Basic filing fee		\$	690.00
Total Effective claims	10 - 20 = 0	x \$	18.00
Independent claims	1 - 3 = 0	x \$	78.00
If any proper multiple dependent claims now added for first time, add \$260.00 (ignore improper)		\$	0.00
	<b>FILING FEE</b>	\$	690.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$380.00/2 months; \$870.00/3 months; \$1360.00/4 months)		\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted		\$	130.00
	<b>FIRST SUBTOTAL</b>	\$	820.00
If "small entity," enter half (1/2) of subtotal and subtract		-\$	0.00
	<b>SECOND SUBTOTAL</b>	\$	820.00
Assignment Recording Fee (\$40.00)		\$	40.00
	<b>TOTAL FEE ENCLOSED</b>	\$	860.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
 Arlington, Virginia 22201-4714  
 Telephone: (703) 816-4000  
 Facsimile: (703) 816-4100  
 HWB:ish

NIXON &amp; VANDERHYE P.C.

By Atty: H. Warren Burnam, Jr., Reg. No. 29,366

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